



MEMORANDUM – 2021-007

TO : ALL TRADING PARTICIPANTS AND THE INVESTING PUBLIC
RE : VOLUNTARY SUSPENSION OF TRI-STATE SECURITIES, INC.
DATE : 04 MARCH 2021

In December 2020, Tri-State Securities, Inc. (“TRISTATE”) submitted to the Capital Markets Integrity Corporation (“CMIC”) an application for voluntary suspension.

After the conclusion of the special audit and upon determining TRISTATE’s compliance with the requirements under the pertinent securities laws, the Board of Directors of CMIC **approved** the application.

The voluntary suspension of TRISTATE will take effect on 5 March 2021.

In view of the foregoing, all trading participants are requested to immediately inform CMIC, via electronic mail (info@cmic.com.ph), of all pending transactions and contracts with TRISTATE, if any.

For inquiries, TRISTATE’s clients may contact CMIC at (02)8876-4580 or send an electronic mail to info@cmic.com.ph. The clients may also visit CMIC’s office at 10F, Philippine Stock Exchange Tower, 5th Avenue corner 28th Street, Bonifacio Global City, Taguig City.

Thank you.

DAISY P. ARCE
President

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